



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section 1/18 2

APPLICANT : Zachariah Cobrinik
SERIAL NO. : 09/852,599
FILED : May 10, 2001
ATTY. DOCKET : 7056.031
TITLE : METHODS AND SYSTEMS FOR MANAGING
INVESTMENTS FOR COMPLEX FINANCIAL
INVESTMENTS

REGULAR MAIL CERTIFICATE

Date of Deposit: October 5, 2001

I hereby certify that the following attached paper(s) and/or fee

- (1) A Copy of the Notice to File Missing Parts of Application;
- (2) An Executed Declaration for Patent Application;
- (3) A credit card payment form in the amount of \$130.00 (missing parts surcharge);
- (4) Substitute drawings in compliance with 37 CFR 1.84;
- (5) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

by depositing same in a postage prepaid envelope in an official depository under the exclusive care and custody of the United States Postal Service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the "Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231"

Respectfully submitted,
DREIER & BARITZ LLP

Paula M. Maleh

Paula M. Maleh

Dated: October 5, 2001

CORRESPONDENCE:

DREIER & BARITZ LLP
499 Park Avenue, 20th Floor
New York, NY 10022
Tele: (212) 328-6123
Fax: (212) 328-6101



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,599	05/10/2001	Zachariah Cobrinik	7056.031

CONFIRMATION NO. 4927

FORMALITIES LETTER



OC00000006268923

Barry J. Schindler
Dreier & Baritz LLP.
499 Park Avenue
New York, NY 10022

Date Mailed: 07/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

10/11/2001 MBERHE 00000123 09852599
01 FC:105

130.00 DP

MTB

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]